

The Pension Regulator's and Scheme Advisory Board Compliance Checklist

Summary Results Dashboard

No	Completed	Compliant	No	Completed	Compliant	No	Completed	Compliant	No	Completed	Compliant	No	Completed	Compliant
Reporting Duties			C4	G	G	Maintaining Accurate Member Data			Providing Information to Members and Others			I8	G	G
A1	G	G	C5	G	G	F1	A	A	H1	G	G	I9	G	G
A2			C6	G	G	F2	G	G	H2	G	G	Reporting Breaches		
A3	G	G	C7	G	G	F3	G	G	H3	G	G	J1	G	A
A4	G	G	C8	G	G	F4	G	G	H4	G	G	J2	G	G
Knowledge & Understanding			C9	G	G	F5			H5			J3	G	G
B1	G	G	C10	G	G	F6	G	G	H6			Scheme Advisory Board Requirements		
B2	G	G	C11	G	G	F7	G	G	H7	G	A	K1	G	G
B3	G	G	Publishing Scheme Information			F8	G	A	H8	G	G	K2	G	G
B4	G	G	D1	G	G	F9	G	G	H9	G	G	K3	G	G
B5	G	G	D2	G	G	F10	G	G	H10	G	G	K4	G	G
B6	G	G	D3	G	G	F11	G	G	H11	G	G	K5	A	A
B7	G	G	D4	G	G	Maintaining Contributions			H12	G	G	K6	A	A
B8	A	A	Risk and Internal Controls			G1	G	G	H13	G	G	K7	A	A
B9	G	G	E1	G	G	G2	G	G	Internal Dispute Resolution			K8	G	G
B10	A	A	E2	G	G	G3	G	G	I1	G	G	K9	G	G
B11	G	G	E3	G	G	G4	G	G	I2	G	G	K10	G	G
B12	A	A	E4	G	G	G5	G	A	I3	G	G	K11	G	G
Conflicts of Interest			E5	G	G	G6	G	G	I4	G	G	K12	G	G
C1	A	A	E6	G	G	G7	A	A	I5	G	G	K13	G	G
C2	G	G	E7	G	G	G8	A	R	I6	G	G	K14	G	G
C3	A	A	E8	G	G	G9	G	G	I7	G	G	K15	G	G

This page is intentionally left blank